



Omgeo Connect

Global trade lifecycle management.

ALERT

- Benchmarks
- Central Trade Manager
- Connect
- CrossCheck
- OASYS
- OASYS Global
- OASYS-TradeMatch
- ProtoColl
- TradeHub
- TradeSuite

Omgeo Connect provides investment managers consolidated access to select Omgeo services and robust settlements management via a single point of entry and within an ASP environment. Omgeo Connect will be most valuable for those firms with large, multiple asset class trading volumes and complex environments who may be looking to consolidate multiple operations and infrastructures onto a single platform.

Omgeo Connect enables clients to reduce operating costs and risks quickly without high up-front costs and unpredictable ongoing expenditures associated with custom-developed environments and various vendor-system upgrades.

Key Benefits

Omgeo Connect provides clients with the ability to:

- Enable reliable trade and settlement management with a robust and scaleable infrastructure.
- Manage operational risk and costs through a single connection to an open post-trade environment with coverage of multiple asset classes and markets.
- Maintain control over business processes with reduced technical development, maintenance effort and cost, leveraging one common message for all instrument types.

All together now.

In an STP environment, investment managers (IMs) continue to be challenged by inefficiencies within their post-trade process, including multiple interfaces and infrastructures. Such complex environments foster multiple failure points and are difficult and costly to maintain. By streamlining one's infrastructure to a single point of access to an open post-trade environment, risks, costs and failures are reduced.

A complementary offering to Omgeo's existing solutions, Omgeo Connect provides investment managers consolidated access to select Omgeo services and robust settlements management via a single point of entry and within an ASP environment. Omgeo Connect will be most valuable for those firms with large, multiple asset class trading volumes and complex environments who may be looking to consolidate multiple operations and infrastructures onto a single platform.

Omgeo Connect enables clients to reduce operating costs and risks quickly without high up-front costs and unpredictable ongoing expenditures associated with custom-developed environments and various vendor-system upgrades.

Key Benefits

Omgeo Connect provides clients with the ability to:

- Enable reliable trade and settlement management with a robust and scaleable infrastructure.
- Manage operational risk and costs through a single connection to an open post-trade environment with coverage of multiple asset classes and markets.
- Maintain control over business processes with reduced technical development, maintenance effort and cost, leveraging one common message for all instrument types.

- Consolidate access to select best of breed post-trade offerings from Omgeo and settlements management functionality via a single point of entry.
- Access multiple communities of trade counterparties through a common interface, leveraging industry messaging standards.
- Mitigate capital cost of continually reconciling systems due to frequent market infrastructure and market practice changes, as well as routine vendor system upgrades.

Functionality Components

As a single point of access to the multiple market infrastructures, data providers and trade counterparties involved in the IM post-trade process, Omgeo Connect provides a consolidated window to all trades, a profile-driven, hosted trade workflow solution and a connectivity hub that reaches external destinations that integrate with various internal destinations to provide full workflow implementation. Omgeo Connect functionality includes:

Trade Management

- Web-based user interface – single window to monitor and control trade management and communication messaging status across multiple asset classes and multiple distribution channels
- Data transformation – conversion of message format and layout
- Data enrichment – automatic enrichment with broker and bank settlement instructions and counterparty reference tables
- MIS reporting – data extraction available via MQ, importable into a third party reporting tool
- Trade flow management – trade routing & workflow rules



www.omgeo.com

Americas
 Omgeo LLC
 22 Thomson Place
 Boston, MA 02210
 tel +1.866.49.OMGEO
askomgeoamerica@omgeo.com

Europe
 Omgeo Ltd
 Aldgate House
 33 Aldgate High Street
 London EC3N 1DL
 tel +44.20.7369.7777
askomgeoeuropa@omgeo.com

Asia
 Omgeo Pte Ltd
 18 Science Park Drive
 Singapore 118229
 tel +65.6411.6888
askomgeoasia@omgeo.com

Japan
 Omgeo K.K.
 Palaceside Building
 1-1-1, Hitotsubashi, Chiyoda-ku
 Tokyo, 100-0003
 tel + 813.5218.6621
askomgeojapan@omgeo.com

Settlement Management

- Message review – approval and amendment of all trades including high-risk
- Rules management – adherence to industry-wide SMPG rules as well as the ability to create/maintain client-specific mapping/enrichment and workflow rules
- Third party communication – optional delivery of notifications to clients and interested third parties (fund accountants, plan sponsors)

Connectivity

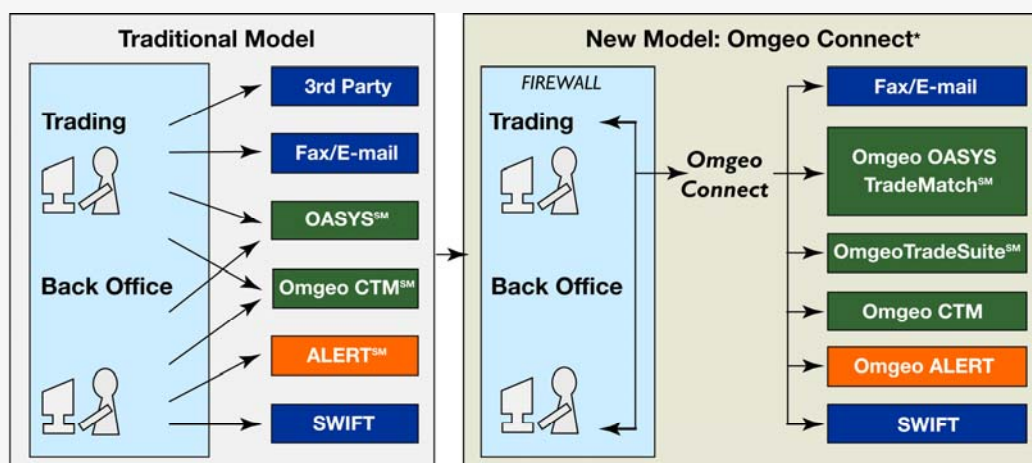
- Connectivity hub – two-way communication with select Omgeo services and SWIFT (via BBH Infomediary). One-way outbound fax & email communications are also available
- Network connectivity – flexible connectivity options including, but not limited to, MQ, extranet providers and direct leased lines

Omgeo Connect currently provides access to fixed income and equity asset classes via Omgeo CTMSM (version 1.10), Omgeo OASYS-TradeMatchSM (version 4.0.3) and TradeSuite (version 6.0) as well as enhanced settlements management functionality. We will continue to evolve Omgeo Connect in line with market and client demands.

About Omgeo

Omgeo creates certainty in post-trade operations through the automation and timely confirmation of the economic details of trades executed between investment managers and broker dealers. Every day Omgeo enables an efficient community of more than 6000 financial services clients in 46 countries to manage matching and exception handling of trade allocations, confirmations, and settlement instructions. Leading organizations rely on Omgeo to help manage an increasingly complex investment industry by providing operational stability and solutions that complement the focus on profitability in an era of escalating trade volumes. Across borders, asset classes, and trade lifecycles, Omgeo is the global standard for operational efficiency across the investment industry. Formed in 2001, Omgeo is jointly owned by the DTCC and Thomson Reuters.

For more information about Omgeo, please visit www.omgeo.com.



www.omgeo.com

Copyright © 2010 Omgeo LLC. All rights reserved. All Omgeo service names appearing herein are either registered trademarks or service marks of Omgeo LLC in the United States and elsewhere. Several Omgeo services are regulated by the U.S. Securities and Exchange Commission. For more information, please visit www.omgeo.com/regulation. RL0810